## Autonomous initiation and propagation of action potentials in neurons of the subthalamic nucleus

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The activity of the subthalamic nucleus (STN) is intimately related to movement and is generated, in part, by voltage-dependent Na<sup>+</sup> (Na<sub>v</sub>) channels that drive autonomous firing. In order to determine the principles underlying the initiation and propagation of action potentials in STN neurons, 2-photon laser scanning microscopy was used to guide tight-seal whole-cell somatic and loose-seal cell-attached axonal/dendritic patch-clamp recordings and compartment-selective ion channel manipulation in rat brain slices. Action potentials were first detected in a region that corresponded most closely to the unmyelinated axon initial segment, as defined by Golgi and ankyrin G labelling. Following initiation, action potentials propagated reliably into axonal and somatodendritic compartments with conduction velocities of  $\sim 5 \,\mathrm{m\,s^{-1}}$  and  $\sim 0.7 \,\mathrm{m\,s^{-1}}$ , respectively. Action potentials generated by neurons with axons truncated within or beyond the axon initial segment were not significantly different. However, axon initial segment and somatic but not dendritic or more distal axonal application of low [Na+] ACSF or the selective Na<sub>v</sub> channel blocker tetrodotoxin consistently depolarized action potential threshold. Finally, somatodendritic but not axonal application of GABA evoked large, rapid inhibitory currents in concordance with electron microscopic analyses, which revealed that the somatodendritic compartment was the principal target of putative inhibitory inputs. Together the data are consistent with the conclusions that in STN neurons the axon initial segment and soma express an excess of Na<sub>v</sub> channels for the generation of autonomous activity, while synaptic activation of somatodendritic GABA $_{\rm A}$  receptors regulates the axonal initiation of action potentials.

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The glutamatergic subthalamic nucleus (STN) is a key component of the basal ganglia, a group of subcortical brain nuclei that are critical for normal voluntary movement (DeLong et al. 1986; Graybiel et al. 1994; Bar-Gad et al. 2003; Hikosaka et al. 2006). The activity of the STN has a complex relationship to movement but appears important for the suppression of ill-timed/inappropriate muscle contractions (Albin et al. 1989; Crossman, 1989; DeLong, 1990; Mink & Thach, 1993). Indeed, pathological/experimental ablation of the STN leads to ballistic/choreic movement (Albin et al. 1989; Crossman, 1989; DeLong, 1990; Mink & Thach, 1993), whereas pathological overactivity and correlated burst firing in the STN are associated with akinesia, rigidity and tremor in Parkinson's disease (PD) (Albin et al. 1989; Crossman, 1989; DeLong, 1990; Mink & Thach, 1993; Bergman et al. 1994; Levy et al. 2000, 2002). The role of the STN is further evidenced by the fact that correction of its pathological activity, by direct high-frequency electrical stimulation, ablation or drug treatment, profoundly ameliorates the motor symptoms of PD (Bergman *et al.* 1990; Brown *et al.* 2001; Levy *et al.* 2001; Benabid, 2003; Alvarez *et al.* 2005; Hamani *et al.* 2006)

In recent years, it has been shown that STN neurons fire rhythmically and independently of synaptic input (Bevan & Wilson, 1999; Beurrier *et al.* 2000; Do & Bean, 2003; Baufreton *et al.* 2005). This autonomous firing may contribute to the tonic discharge of STN neurons *in vivo* and influence synaptic integration. Autonomous firing is generated by voltage-dependent Na<sup>+</sup> (Na<sub>v</sub>) channels that underlie persistent, transient and resurgent currents (Bevan & Wilson, 1999; Beurrier *et al.* 2000; Do & Bean, 2003; Baufreton *et al.* 2005). The location of Na<sub>v</sub> channels and the manner in which action potentials are initiated and propagated in STN neurons are, however, less well defined. In the few neurons studied thus far, autonomously/synaptically generated action potentials are usually initiated in regions of myelinated

or non-myelinated axons proximal to the soma (Clark et al. 2005; Palmer & Stuart, 2006; Kole et al. 2007, 2008; Meeks & Mennerick, 2007; Shu et al. 2007; Schmidt-Hieber et al. 2008). In myelinated axons initiation is at the initial segment or at the first node of Ranvier (Clark et al. 2005; Palmer & Stuart, 2006; Kole et al. 2007, 2008; Meeks & Mennerick, 2007; Scott et al. 2007; Shu et al. 2007; Schmidt-Hieber et al. 2008). The proximal axon is also the strongest site of Na<sub>v</sub> channel expression as evidenced by immunocytochemical labelling and patch clamp recording (Astman et al. 2006; Kuba et al. 2006; Castelli et al. 2007; Meeks & Mennerick, 2007; Scott et al. 2007; Van Wart et al. 2007; Kole et al. 2008). It has also been suggested that Na<sub>v</sub> channels are distributed similarly across neurons but axonal channels possess a lower activation voltage (Colbert & Pan, 2002) and thus lead action potential generation. Theoretical studies support the view that high Na<sub>v</sub> channel density and/or a lower activation voltage together with a low effective capacitance favour the initiation of action potentials in the proximal axon (Mainen et al. 1995; Colbert & Pan, 2002; Clark et al. 2005; Mercer et al. 2007; Kole et al. 2008; Schmidt-Hieber et al. 2008). Our first objective was therefore to determine the site of autonomous action potential initiation in STN neurons. Because direct recordings from most compartments of small neurons like STN neurons are difficult due to their poor visibility and fine calibre, STN neurons were filled with a fluorescent marker via a somatic patch-clamp electrode in the whole-cell configuration and then imaged using 2-photon laser scanning microscopy (2PLSM) (Clark et al. 2005; Khaliq & Raman, 2005; Meeks et al. 2005; Monsivais et al. 2005; Schmidt-Hieber et al. 2008). Visually guided loose-seal cell-attached recordings of axonal and dendritic action potentials were then acquired and compared to somatic records to determine the earliest site of action potential generation.

Armed with an appreciation of the initiation region, our *second objective* was to determine the morphological properties of the proximal STN axon. Thus, the Golgi technique, due to its relative efficiency of labelling non-myelinated compartments (Fairen *et al.* 1977; Afsharpour, 1985; Peters, 2007), was employed to estimate the length of the axon initial segment and ankyrin G labelling was used to determine the location of the first node of Ranvier (Bennett & Lambert, 1999).

The ortho- and antidromic propagation of action potentials from the site of initiation can be faithful or exhibit failures and/or attenuation (Coombs *et al.* 1957; Fuortes *et al.* 1957; Grossman *et al.* 1979; Grace & Bunney, 1983; Spruston *et al.* 1995; Debanne *et al.* 1997, 2004; Jung *et al.* 1997; Khaliq & Raman, 2005; Monsivais *et al.* 2005; Gentet & Williams, 2007; Shu *et al.* 2007). Failure/attenuation can be due to a low density or relative susceptibility to inactivation of Na<sub>v</sub> channels and/or placement of other channels that limit Na<sub>v</sub> channel

activation (Debanne *et al.* 1997, 2004; Jung *et al.* 1997; Gentet & Williams, 2007; Kole *et al.* 2007, 2008; Scott *et al.* 2007). Failure may also be due to the higher capacitance of branch points (Grossman *et al.* 1979; Debanne, 2004). Our *third objective* was therefore to determine whether autonomously generated action potentials propagate reliably in STN neurons.

Despite the importance of axonal  $Na_v$  channels, acutely isolated STN and cerebellar Purkinje neurons retain autonomous activity and large  $Na_v$  channel currents despite truncation of the axon to  $<10\,\mu m$  (Raman & Bean, 1999; Do & Bean, 2003; Baufreton *et al.* 2005). These data suggest that somatodendritic  $Na_v$  channels also contribute to firing. Our *fourth objective* was therefore to determine the relative contribution of each neuronal compartment to autonomous firing. The first approach compared the firing of neurons whose axons were variably truncated; the second approach utilized compartment-selective reduction in  $Na_v$  channel current by local application of media containing a low  $[Na^+]$  or tetrodotoxin (TTX).

In many neurons axosomatic and axoaxonic GABAergic synapses close to/at the site of initiation tightly regulate firing (Buhl *et al.* 1995; Miles *et al.* 1996; Häusser & Clark, 1997; Pouzat & Hestrin, 1997; Person & Perkel, 2005; Szabadics *et al.* 2006). In STN neurons, the available evidence suggests that GABAergic synaptic inputs are directed to the axon hillock and somatic and dendritic compartments (Chang *et al.* 1983; Smith *et al.* 1990; Shink *et al.* 1996; Bevan *et al.* 1997) where they profoundly influence autonomous firing (Bevan *et al.* 2002; Baufreton *et al.* 2005). Our *final objective* was therefore to determine the precise location of GABAergic inputs relative to the initiation site, through ultrastructural analysis of Golgi-labelled neurons and compartment-selective application of GABA.

### **Methods**

### **Ethical information**

All procedures involving animals were carried out in accordance with Northwestern University's Institutional Animal Care and Use Committee and the policies of the National Institutes of Health (USA).

### Slice preparation

Brain slices were prepared from 60 male 16-to 25-day-old Sprague–Dawley rats (Charles River, Wilmington, MA, USA), as previously described. Rats were deeply anaesthetized with ketamine/xylazine (87/13 mg kg $^{-1}$  I.P.) before transcardial perfusion with  $\sim$ 25 ml of ice-cold modified artificial cerebrospinal fluid (ACSF) that contained 230 mM sucrose, 2.5 mM KCl,

1.25 mm NaH $_2$ PO $_4$ .H $_2$ O, 0.5 mm CaCl $_2$ .2H $_2$ O, 10 mm MgSO $_4$ .7H $_2$ O, 10 mm glucose and 26 mm NaHCO $_3$  (equilibrated with 95% O $_2$  and 5% CO $_2$ ). Each brain was then removed, immersed in modified ACSF and sectioned at 300  $\mu$ m in the sagittal, coronal or horizontal planes (3000 Deluxe, Vibratome Company, St Louis, MO, USA). Slices containing the STN were then transferred to a holding chamber where they were submerged in traditional ACSF containing 125 mm NaCl, 2.5 mm KCl, 1.25 mm NaH $_2$ PO $_4$ .H $_2$ O, 2 mm CaCl $_2$ .2H $_2$ O, 2 mm MgSO $_4$ .7H $_2$ O, 10 mm glucose, and 26 mm NaHCO $_3$  (equilibrated with 95% O $_2$  and 5% CO $_2$ ) and maintained at room temperature.

### **Electrophysiology and 2PLSM**

Individual brain slices were transferred to a recording chamber that was perfused at 2-3 ml min<sup>-1</sup> with media that more closely matched rodent brain interstitial fluid (Sanchez-Vives & McCormick, 2000). Thus, 'synthetic interstitial fluid' contained 126 mm NaCl, 3 mm KCl, 1.25 mm NaH<sub>2</sub>PO<sub>4</sub>.H<sub>2</sub>O<sub>5</sub> 1.6 mm CaCl<sub>2</sub>.2H<sub>2</sub>O<sub>5</sub> 1.5 mm MgSO<sub>4</sub>.7H<sub>2</sub>O<sub>5</sub> 10 mm glucose, and 26 mm NaHCO<sub>3</sub> (equilibrated with 95% O<sub>2</sub> and 5% CO<sub>2</sub>) and was heated to 35-37°C. Electrophysiological recordings employed borosilicate glass micropipettes (Warner Instruments, Hamden, CT, USA) prepared with a micropipette puller (P97, Sutter Instruments, Novato, CA, USA). Pipettes had an impedance of  $6-12 M\Omega$  when filled with an internal solution of 135 mm KCH<sub>3</sub>SO<sub>4</sub>, 3.8 mm NaCl, 1 mm MgCl<sub>2</sub>.6H<sub>2</sub>O, 10 mm Hepes, 0.1 mm Na<sub>4</sub>EGTA, 0.4 mm Na<sub>3</sub>GTP and 2 mm Mg<sub>1.5</sub>ATP (pH 7.3 with KOH; 290 mosmol  $l^{-1}$ ). Alexa Fluor 568 or 594 hydrazide sodium salt (20 µm) (Invitrogen, Carlsbad, CA, USA) was added to the internal solution on the day of recording in order to visualize neurons under 2PLSM.

Electrophysiological records were acquired using a computer running Clampex 9 software (Molecular Devices, Palo Alto, CA, USA) connected to a Multiclamp 700B amplifier (Molecular Devices) via a Digidata 1322A digitizer (Molecular Devices). Data were low-pass filtered at 10 kHz and sampled at 50 kHz. Electrode capacitance was compensated on-line. Voltage errors due to series resistance were corrected off-line. A liquid junction potential of 9 mV was subtracted from recordings that employed KCH<sub>3</sub>SO<sub>4</sub>-based internal solution.

STN neurons were visualized using a fixed stage upright microscope (BX51WI, Olympus, Tokyo, Japan) and a ×60, 0.9 NA water-immersion lens (Olympus). Neurons were imaged at 780–820 nm with 90 MHz pulse repetition and 200 fs pulse duration at the sample plane. The light source was a Chameleon-XR tunable laser (720–950 nm) (Coherent, Glasgow, UK) that was regulated with a

Pockels cell electro-optic modulator (model 350-50-02, Con Optics, Danbury, CT, USA). Imaging was carried out using an Ultima 2P dedicated system (Prairie Technologies, Inc., Middleton, WI, USA). Neurons were initially visualized using laser-scanning Dodt contrast imaging (Luigs & Neumann, Ratingen, Germany; Prairie Technologies, Inc.). Upon establishment of the whole-cell configuration, Alexa Fluor dye was allowed to diffuse into the recorded neuron for 10-20 min. High-magnification images of Alexa Fluor-filled dendritic or axonal arbors were then used to guide the placement of further pipettes. Visually guided loose-seal cell-attached dendritic or axonal recordings were made using  $6-12 \,\mathrm{M}\Omega$  impedance pipettes prepared as for whole-cell recording except that they were filled with 145 mm NaCl, 10 mm Hepes and 20  $\mu$ m Alexa Fluor 488 or 568 or 594 hydrazide (pH 7.35 with 1 M NaOH;  $300-310 \text{ mosmol } 1^{-1}$ ).

Compartment-selective application of drugs or low [Na<sup>+</sup>] external medium was made using similarly prepared pipettes except that they contained  $1 \mu M$  tetrodotoxin (TTX; Ascent Scientific, Weston-super-Mare, UK) or 1 mm γ-aminobutyric acid (GABA; Tocris Bioscience, Ellisville, MO, USA) dissolved in 145 mm NaCl, 10 mm Hepes and 20  $\mu$ M Alexa Fluor 488 hydrazide (Molecular Probes; pH 7.35 with NaOH;  $300-310 \text{ mosmol } l^{-1}$ ) or low [Na<sup>+</sup>] ACSF, which comprised 136.2 mm N-methyl-D-glucamine (NMDG), 13.8 mm NaCl, 2.5 mm KCl, 10 mm Hepes, 10 mm glucose, 2 mm MgCl<sub>2</sub>.6H<sub>2</sub>O, 2 mm CaCl<sub>2</sub>.2H<sub>2</sub>O and 20  $\mu$ m Alexa Fluor 488 hydrazide (pH 7.4 with HCl;  $300-310 \text{ mosmol } 1^{-1}$ ). Pressure was applied using a Picospritzer II (Parker Hannifin Corp., Cleveland, OH, USA) at 30-60 kPa for 10-50 ms to eject solution. The extent of drug/medium application was estimated by 2PLSM movies of ejected Alexa Fluor 488 and the minimal distance of GABA application from the soma that failed to elicit a response. Using these approaches we estimated that effective drug/medium applications could be restricted to  $< 20 \,\mu\text{m}$ . During GABA application experiments the GABA<sub>B</sub> antagonist (2S)-3-[(1S)-1-(3,4-dichlorophenyl)ethyl]amino-2 hydroxypropyl](phenylmethyl)phosphinic acid (CGP 55845; Tocris Bioscience) was applied at  $2 \mu M$ to block GABA<sub>B</sub> receptors. Upon completion of electrophysiological experiments, maximum intensity projections of 2PLSM Z-series with recording and application pipettes in place were made using 0.5–1  $\mu$ m focal steps.

### Golgi labelling and electron microscopy

Experiments were carried out in four (2 18–20-day-old and 2 adult) Sprague–Dawley rats (Charles River Laboratories). Rats were deeply anaesthetized with sodium pentobarbital (Nembutal, 150 mg kg<sup>-1</sup>, I.P., Oviation

Pharmaceuticals, Deerfield, IL, USA) before transcardial perfusion with 50-100 ml of phosphate-buffered saline (PBS, 0.01 M, pH 7.4), 250 ml of 2.5% glutaraldehyde and 4% paraformaldehyde in phosphate buffer (PB, 0.01 M, pH 7.4), 250 ml of 4% paraformaldehyde in PB and finally 100 ml of PBS. After perfusion, the STN was sectioned in the sagittal plane at 70  $\mu$ m (VT1000s, Leica, Bannockburn, IL, USA). Following further washes in PBS, sections were equilibrated in PB and postfixed in 1% osmium tetroxide (Electron Microscopy Sciences, Ft Washington, PA, USA) in 0.1 M PB at pH 7.4 for 30 min. Sections were then further washed before trimming with a razor blade to maximize diffusion of Golgi labelling solutions. The single-section Golgi-impregnation technique was carried out, as previously described (Gabbott & Somogyi, 1984). Briefly, sections were placed in 3.5% K<sub>2</sub>Cr<sub>2</sub>O<sub>7</sub> for 1-3 days followed by 2.5% aqueous AgNO<sub>3</sub> for 6-24 h. Sections containing Golgi-impregnated neurons were then gold-toned (Fairen et al. 1977). Finally, sections were dehydrated through a graded series of dilutions of alcohol, washed in propylene oxide, infiltrated with resin (Durcupan; Fluka Chemicals, Sigma-Aldrich, St Louis, MO, USA), mounted on glass microscope slides and polymerized at 60°C for 48 h. Golgi-impregnated STN neurons were examined with a Leica DBLM microscope (Leica Microsystems, Wetzlar, Germany) equipped with a ×100 1.25 NA oil-immersion lens and reconstructed using Neurolucida (Microbrightfield, Inc., Williston, VT, USA). Only neurons with extensively filled processes and axons whose entire stained portion remained within single slices were reconstructed. Reconstructions were corrected for an estimated 10% shrinkage due to fixation. In some cases, labelled STN neurons were excised and re-sectioned serially at 50-100 nm (Ultracut E, Leica Microsystems). Ultrathin sections were collected on pioloform-coated slot grids (Electron Microscopy Sciences), stained with lead citrate and then examined and photographed with a transmission electron microscope (Jeol 1200, Jeol USA, Peabody, MA, USA).

### **Immunocytochemistry**

In order to detect ankyrin G in axon initial segments and nodes of Ranvier, STN neurons were filled in slices (derived from 6 rats) in the whole-cell configuration (as described above) with 0.5% biocytin. After filling for 20 min, slices were immersed in 4% paraformaldeyhyde in 0.1 M PB pH 7.4 for 1–2 h. After fixation slices were washed in PBS. Reagents for the detection of ankyrin G and biocytin were diluted in PBS containing 1% bovine serum albumin, 1% normal goat serum and 0.5% Triton X-100 (Sigma-Aldrich). Slices were incubated in 1:100 mouse anti-ankyrin G (463; Santa Cruz; Santa Cruz, CA, USA) for 48 h at 4°C and then 1:100 Alexa Fluor 488-conjugated

goat anti-mouse IgG (Invitrogen) and 1:500 Alexa Fluor 594-conjugated streptavidin (Invitrogen) for 2h at room temperature. Finally, slices were mounted on glass slides in PBS/glycerol and imaged using 2PLSM, as described above.

### Data analysis

Electrophysiological data were analysed using Clampfit 10 (Molecular Devices), IgorPro 6 (Wavemetrics, Portland, OR, USA) and Prism 5 (GraphPad Software, San Diego, CA, USA) software. The threshold and maximum rate of rise of somatic action potentials were calculated from averages of 100 action potentials aligned to their peaks. Action potential threshold was detected as the point at which the derivative of the membrane potential (dV/dt) deviated from membrane noise prior to threshold by greater than 2 s.d. Axons and dendrites were measured using the Neuron Morpho plugin for ImageJ (National Institutes of Health, Bethesda, MD, USA). Numerical data are presented as mean  $\pm$  s.d. An  $\alpha$  value of 0.05 was used as the criterion for determining statistically significant differences.

#### **Results**

Electrophysiological data collected from 75 neurons were selected for analysis. As previously described, STN neurons exhibited regular autonomous firing, high-frequency firing in response to depolarizing current, a pronounced depolarizing 'sag' in response to hyperpolarizing current, and a rebound depolarization upon termination of hyperpolarizing current (Nakanishi *et al.* 1987*a*; Overton & Greenfield, 1995; Beurrier *et al.* 1999, 2000; Bevan & Wilson, 1999; Song *et al.* 2000; Hallworth *et al.* 2003).

# Action potential waveforms recorded in the loose-seal cell-attached configuration are related to the first temporal derivative of the membrane potential

Dual whole-cell somatic and loose-seal cell-attached current-clamp recordings were employed to study the initiation and propagation of action potentials in STN neurons. As previously described (Meeks *et al.* 2005; Teagarden *et al.* 2008), simultaneous dual whole-cell somatic and loose-seal cell-attached somatic recordings (n=5) confirmed that the signal recorded in the loose-seal cell-attached configuration was related to the local capacitive current, and therefore the first temporal derivative of the action potential (Fig. 1). Furthermore, the nature of the loose-seal cell-attached measurement was not altered by the inclusion of 1  $\mu$ M TTX (n=3; data

not shown) in the recording electrode suggesting that ionic current did not contribute greatly to the signal.

The timing of action potentials in different compartments of neurons has been determined from the relative timing of the peak of the temporal derivative of the somatic membrane potential (dV/dt) and the peak voltage recorded with the loose-seal cell-attached pipette. However, two distinct components may be observed in the temporal derivative of the somatic membrane potential. The first component may correspond to the generation of an action potential in the proximal axon, whereas the second component may represent the delayed generation of an action potential in the somatodendritic compartment (Coombs et al. 1957; Fuortes et al. 1957; Häusser et al. 1995; Colbert & Johnston, 1996; Khaliq & Raman, 2006; Kuba et al. 2006; Palmer & Stuart, 2006; Gentet & Williams, 2007; Shu et al. 2007; Kole et al. 2008). These two components may also be detected in loose-seal cell-attached recordings from the proximal axon with the relative contribution of the somatic component decreasing with distance from the soma. Inferences based on the timing of peaks of the temporal first derivative of the somatic voltage and the loose-seal cell-attached record may therefore be confounded by comparison of different components of action potentials at proximal versus distal axonal sites (Meeks & Mennerick, 2007). This problem has been addressed by use of the second temporal derivative of the somatic membrane potential  $(d^2V/dt^2)$  in which axonal and somatic components of action potentials are resolved more clearly (Meeks & Mennerick, 2007). In order to compare the timing of similar components of action potentials, we therefore compared the timing of the first peak of the second temporal derivative of the somatic membrane potential with the first/only peak of the first temporal derivative of the loose-seal cell-attached somatic record (dV/dt; n = 5; Fig. 1). These recordings confirmed that the first peak of the second temporal derivative of the somatic membrane potential and the first peak of the first temporal derivative of the loose-seal cell-attached somatic record were aligned across the range of loose-seal resistances obtained in this study. This method of comparison was therefore used for subsequent experiments in which whole-cell somatic recordings were combined with 2PLSM-guided loose-seal cell-attached recordings from the axonal and/or dendritic compartments of STN neurons. Axons were distinguished from dendrites as fine calibre aspiny processes, which emerged from the soma or a proximal dendrite, traversed a greater distance than any other process and/or if severed during the slicing procedure formed a characteristic retraction ball at the cut ending. An example of this approach is illustrated in the online Supplemental material (Supplemental Fig. 1). The loose-seal cell-attached configuration was first established on the proximal axon of an STN neuron,  $28 \mu m$  from

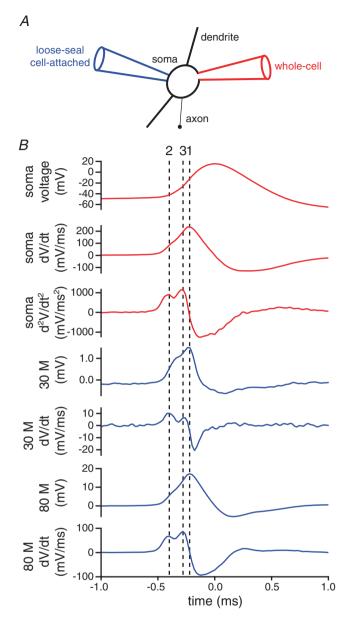


Figure 1. Loose-seal cell-attached recordings are related to the first temporal derivative of the action potential

A. schematic illustration of the recording configuration. Autonomously generated action potentials were recorded simultaneously from the soma of a STN neuron using a pipette in the whole-cell configuration (red pipette) and a pipette in the loose-seal cell-attached configuration (blue pipette). B, action potential-triggered averages of 100 action potentials recorded in the whole-cell (red traces) and loose-seal cell-attached (blue traces) configurations. Loose-seal cell-attached records were obtained for seal resistances of 30 and 80 M $\Omega$ , which approximate the range of resistances obtained with this technique. Note the alignment (line 1) of the peak of the first temporal derivative (dV/dt) of the whole-cell record and the loose-seal cell attached records. Note also the alignment of the first (line 2) and second (line 3) peaks of the second temporal derivative ( $d^2V/dt^2$ ) of the somatic whole-cell record with the first and second peaks of the first temporal derivative (dV/dt) of the loose-seal cell-attached records, respectively. Similar results were obtained in each recorded neuron (n = 5).

the soma (Supplemental Fig. 1*A*). The loose-seal recording pipette was then repositioned on a dendrite 43  $\mu$ m from the soma (Supplemental Fig. 1*B*). The axonal action potential preceded the somatic action potential by 0.04 ms, whereas the dendritic action potential followed the somatic action potential by 0.18 ms.

### Autonomously generated action potentials are initiated in the proximal axon of STN neurons

In the majority of neurons, axons originate from the soma or a proximal dendrite. In some neurons, e.g. midbrain dopamine neurons, axons may emerge from a dendrite up to several hundred micrometres from the cell body. In these cases, the relative timing of action potentials in dendrites can differ depending on whether action potentials in axon- or non-axon-bearing dendrites are recorded (Häusser *et al.* 1995; Gentet & Williams,

2007). This issue was first addressed through analysis of maximum intensity projections of 2PLSM Z-series of 38 STN neurons that had been filled with Alexa Fluor dye via a somatic whole-cell electrode. In 29 of 38 neurons axons originated from the soma. In 9 cases axons emerged from a proximal dendrite  $8.6 \pm 5.2 \,\mu\mathrm{m}$  from the soma. These data are concordant with previous observations (Kita *et al.* 1983 *b*; Afsharpour, 1985) and demonstrate that the axons of STN neurons originate from or very close to the soma.

Axonal and/or dendritic compartments were then sampled at varying distances from the soma using the technique outlined above to determine the relative timing of action potentials in STN neurons. Action potentials recorded from the dendrites of STN neurons followed somatic action potentials over the range of distances tested (Fig. 2*A* and *C*; 43–226  $\mu$ m; average = 87 ± 43  $\mu$ m; n = 14). Axons (n = 24) were recorded at sites ranging from 14 to 836  $\mu$ m (average = 208 ± 219  $\mu$ m) from the

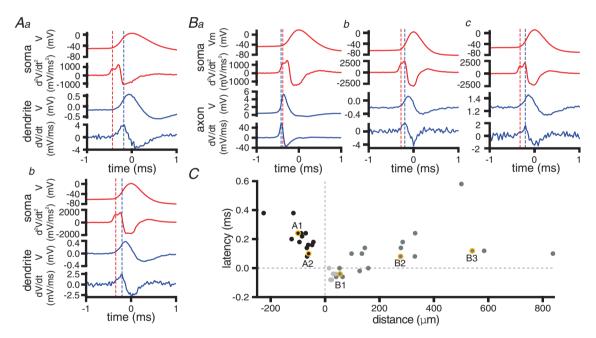


Figure 2. Action potentials are first detected in the proximal axon

A, action potential-triggered averages of 100 action potentials recorded with somatic whole-cell (red traces) and dendritic loose-seal cell-attached (blue traces) electrodes. Dendritic electrodes were positioned 100  $\mu$ m (a) and 61  $\mu$ m (b) from the soma. Note that the peak of the first temporal derivative of the dendritic loose-seal recording (blue dotted line) follows the first peak of the second temporal derivative of the whole-cell record (red dotted line) and the delay is greater for the more distal dendritic recording. B, action potential-triggered averages of 100 action potentials recorded with somatic whole-cell (red traces) and axonal loose-seal cell-attached (blue traces) electrodes. Axonal electrodes were positioned  $54 \,\mu$ m (a),  $277 \,\mu$ m (b) and  $540 \,\mu$ m (c) distal to the soma. Note that the peak of the first temporal derivative of the most proximal axonal loose-seal recording (blue dotted line) precedes the first peak of the second temporal derivative of the whole-cell record (red dotted line). At more distal axonal recording sites action potentials were relatively delayed compared to somatic action potentials. C, latency of the first peak of the second derivative of the somatic action potential relative to the peak of the first derivative of the cell-attached record plotted against the distance of the loose-seal cell-attached recording electrode from the soma. Distances of cell-attached recordings from the soma are plotted as negative and positive for dendrites and axons, respectively. The points drawn from the examples shown in A and B are highlighted. Axonal recordings from sites of truncation or from intact parts of axons are denoted by light and dark grey points, respectively.

soma. In contrast to dendritic recordings, action potentials recorded from STN axons within  $100 \,\mu\text{m}$  of the soma preceded, or were synchronous with, somatic action potentials (Fig. 2B and C).

In order to determine the morphological properties of the proximal axon where action potentials were first detected, the Golgi labelling technique was applied. Observations were made in juvenile rats (P18–20), in which the majority of electrophysiological recordings were made, and in adult (P53) rats to determine whether the length of the axon initial segment changes during development. Analysis was restricted to neurons in which

the labelled axon remained entirely within the section. The distance from the soma to the cessation of axonal Golgi labelling was not significantly different in juvenile and adult rats (Fig. 3; juvenile =  $38 \pm 12 \,\mu\text{m}$ , n = 12; adult =  $35 \pm 5 \,\mu\text{m}$ , n = 11, P = 0.559, Mann–Whitney U test). Correlated light and electron microscopy confirmed in each of two neurons examined that Golgi labelling ceased at the onset of myelination (Fig. 3).

In order to determine the location of the first node of Ranvier, ankyrin G labelling was detected in six STN neurons labelled for biocytin. Ankyrin G labelling was noted in the axon initial segment and nodes of Ranvier

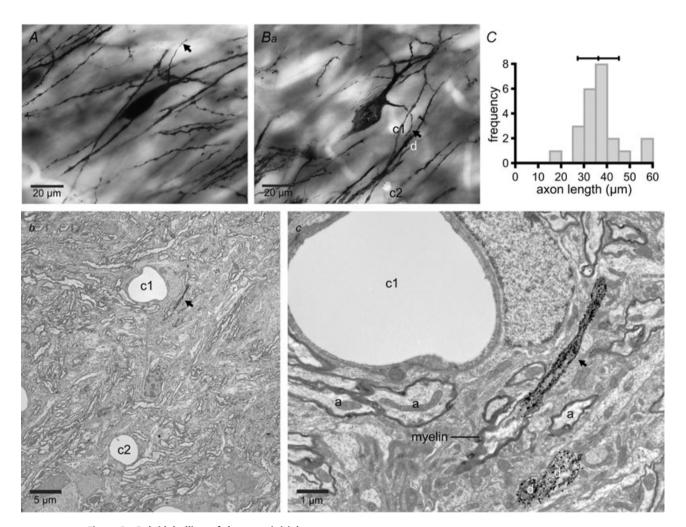


Figure 3. Golgi labelling of the axon initial segment

A and B, photomicrographs of STN neurons showing Golgi impregnation of the unmyelinated initial segment of the axon only. Golgi impregnation ceased 30.7  $\mu$ m from the soma in A and 38.2  $\mu$ m from the soma in B. The axons are marked with arrows. Bb and c, electron microscopic analysis of the neuron in Ba (and A, not illustrated) confirmed that Golgi labelling ceased at the beginning of the myelin sheath. Bb, low magnification electron micrograph illustrating capillaries (c1 and c2), which act as points of registration between the light (Ba) and electron micrographs (Bb and c). Bc, higher magnification micrograph illustrating the end of the Golgi-labelled axon initial segment and the beginning of the unlabelled myelinated section of axon. Golgi-labelled dendrites (d) act as further points of registration between Ba and c. Other unlabelled myelinated axons (a) are denoted in Bc. C, frequency—axon initial segment length histogram for 23 (11 adult, 12 juvenile) neurons. Mean  $\pm$  s.p. is indicated above the graph.

of biocytin-filled STN neurons (Fig. 4). The zone of initial segment labelling was  $39\pm6\,\mu\mathrm{m}$  in length. The first and second nodes of Ranvier were detected at  $105\pm19\,\mu\mathrm{m}$  and  $181\pm31\,\mu\mathrm{m}$  from the soma, respectively. Nodes were also noted at the branch points of axons (Fig. 4B). Taking the electrophysiological, Golgi labelling and immunocytochemical data together, our findings indicate that action potentials were first detected in a region that most closely corresponded to the axon initial segment.

The velocity of action potential conduction following initiation was then compared using linear regression of latency against distance for axons and dendrites. Fits were restricted to axonal recordings >  $100 \, \mu \text{m}$  from the soma. Visual inspection and linear regression suggested that axonal conduction velocity was greater than dendritic conduction velocity (axonal =  $4.9 \, \text{m s}^{-1}$ , r = 0.29, P = 0.330; dendritic =  $0.69 \, \text{m s}^{-1}$ , r = 0.75, P = 0.002). The relatively poor fit to the axonal latencies may result from neuronal heterogeneity and/or the variable propagation velocity of action potentials at a variety of distances from nodes (Granata & Kitai, 1989; Kita & Kitai, 1991; Palmer & Stuart, 2006).

### Autonomously generated action potentials are faithfully propagated in STN neurons

In order to determine whether action potentials are reliably propagated in STN neurons, action potentials detected in the proximal axon (mean distance =  $38 \pm 19 \mu m$ , distance range =  $14-64 \mu \text{m}$ ; n=8) by loose-seal cell-attached recording were first used to determine whether a corresponding action potential was detected in the somatic whole-cell record. Action potentials were discriminated in the loose-seal cell-attached recording when the peak-to-trough amplitude in any given 1.5 ms window exceeded the mean by 2 s.D. Each action potential detected in this manner was also confirmed by visual inspection. The amplitudes of axonal action potentials exhibited a narrow normal distribution in each neuron. These action potentials were then used to detect a corresponding action potential in the somatic compartment. In each neuron (n=8) there was a 100% probability of detecting an action potential with the somatic recording electrode (axon: frequency =  $7.6 \pm 3.4$  Hz, CV =  $0.10 \pm 0.08$ ; soma: frequency =  $7.6 \pm 3.4 \,\text{Hz}$ ,  $\text{CV} = 0.10 \pm 0.08$ ). Furthermore, the amplitudes of somatic action potentials were consistently large and also exhibited a narrow normal distribution in each neuron. Severely truncated action potentials, which would indicate failures of proximal axonal action potentials to lead to the generation of somatic action potentials were not observed (Fig. 5A). These data suggest that action potentials, which are initiated in the proximal axon, invariably lead to the generation of full amplitude somatic action potentials.

Action potentials recorded with somatic whole-cell electrodes were then used to search for corresponding action potentials in loose-seal cell-attached distal axonal records, as described above. In each neuron ( $n\!=\!7$ ) there was a 100% probability of detecting a corresponding action potential in the distal axon (mean distance =  $296\pm181~\mu\text{m}$ ; distance range =  $135\!-\!584~\mu\text{m}$ ; soma: frequency =  $7.0\pm3.3~\text{Hz}$ , CV =  $0.36\pm0.34$ ; axon frequency =  $7.0\pm3.3~\text{Hz}$ , CV =  $0.36\pm0.34$ ). The amplitudes of somatic and axonal action potentials in each recorded neuron also exhibited narrow normal distributions (Fig. 5B).

Finally, action potentials recorded with somatic whole-cell electrodes were used to search for corresponding action potentials in loose-seal cell-attached dendritic records. In each neuron ( $n\!=\!11$ ) there was also a 100% probability of detecting a corresponding action potential in the dendrite (mean distance =  $79\pm27\,\mu\text{m}$ , distance range =  $43\!-\!123\,\mu\text{m}$ ; soma: frequency =  $8.6\pm4.0\,\text{Hz}$ , CV =  $0.13\pm0.17$ ; dendrite: frequency =  $8.6\pm4.0\,\text{Hz}$ , CV =  $0.13\pm0.17$ ) and the amplitudes of somatic and dendritic action potentials in each recorded neuron also exhibited narrow normal distributions (Fig. 5C).

Propagation of action potentials at axonal or dendritic branches can fail intermittently due to the extra capacitive load of branch points (Grossman *et al.* 1979; Debanne *et al.* 1997; Debanne, 2004). However, in several cases axons (Fig. 5B; n=2 of 7) and dendrites (Fig. 5C; n=11 of 11) were recorded beyond branch points and in these cases propagation was also 100% reliable. Taken together, these data suggest that autonomously generated action potentials propagate with high reliability into the axonal and dendritic compartments of STN neurons.

### Action potential properties are unaffected by axon truncation

As described above, the axons of recorded STN neurons were often truncated at the slice surface. We used the variability in the length of axons to further investigate the autonomous generation of action potentials. Because our previous experiments indicated that the initiation of action potentials occurs within the proximal axon, we hypothesized that axonal truncation at more distal sites would not affect action potential generation, whereas truncation within the region of initiation would. Autonomously active STN neurons were recorded, filled with Alexa Fluor dye and imaged using 2PLSM, as described above. Axonal lengths ranged from 14 to  $822 \, \mu \text{m}$ , n = 39. For axons greater than  $100 \, \mu \text{m}$  in length it was often not possible to follow them to their point of truncation/termination. Figure 6A illustrates examples

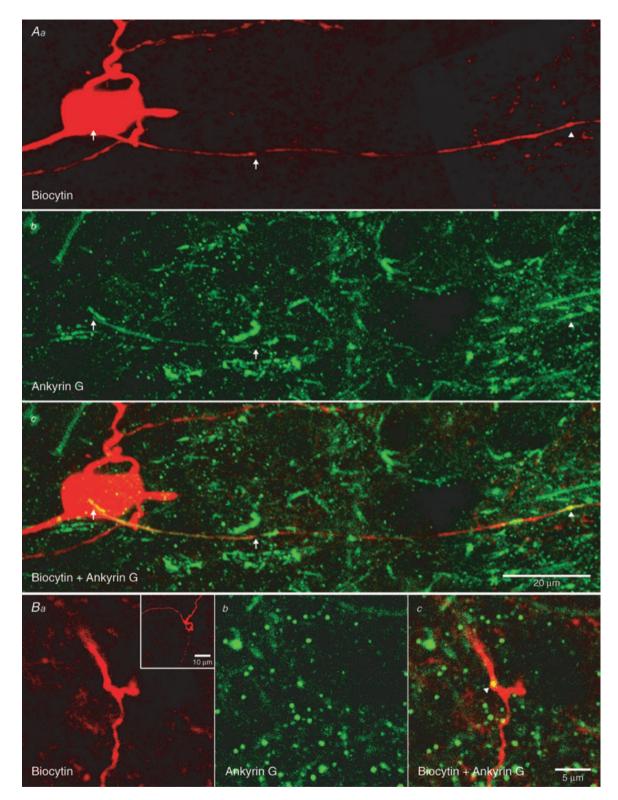


Figure 4. Axonal expression pattern of ankyrin G

2PLSM images of biocytin- (red) and ankyrin G-labelled (green) STN neurons. Aa–c, ankyrin G labelling was detected along the axon initial segment (left arrows denote start and right arrows denote the end of labelling) and at the presumptive first node of Ranvier (arrowhead) of the biocytin-labelled neuron. The scale in Ac also applies to Aa and Ab. Ba–c, ankyrin G labelling at a proximal axonal branch point of another biocytin-labelled STN neuron (arrowhead). Scale bar in Bc also applies to a and b. Ba inset, full Z-stack through the axonal branch point.

of neurons with a range of axonal lengths. However, gross differences in the shape of autonomously generated action potentials or their first or second temporal derivatives were not observed in these neurons or across the sample population as a whole (Fig. 6*B*). There was also no significant correlation between axonal length and action potential threshold (r = -0.130, P = 0.429, Fig. 6*C*) or maximum rate of rise (r = 0.178, P = 0.277, Fig. 6*D*) of autonomously generated action potentials across 39 neurons tested. Comparison of neurons with axons truncated greater than 100  $\mu$ m from the soma with axons truncated less than 100, 50 or 25  $\mu$ m from the soma

also revealed no significant difference in the threshold or maximum rate of action potentials (threshold: >  $100 \, \mu \text{m} = -53.0 \pm 2.4 \, \text{mV}$ , n = 17; <  $100 \, \mu \text{m} = -51.7 \pm 3.1 \, \text{mV}$ , n = 22; <  $50 \, \mu \text{m} = -52.4 \pm 3.4 \, \text{mV}$ , n = 15; <  $25 \, \mu \text{m} = -52.1 \pm 5.1 \, \text{mV}$ , n = 5; ANOVA, P = 0.661; Dunnett's *post hoc* multiple comparison test, P > 0.05 for each pairwise comparison with axons >  $100 \, \mu \text{m}$ ) (maximum rate of rise of action potentials: >  $100 \, \mu \text{m} = 316.6 \pm 95.3 \, \text{mV ms}^{-1}$ , n = 17; <  $100 \, \mu \text{m} = 321.3 \pm 82.6 \, \text{mV ms}^{-1}$ , n = 22; <  $50 \, \mu \text{m} = 325.8 \pm 95.1 \, \text{mV ms}^{-1}$ , n = 15; <  $25 \, \mu \text{m} = 310.7 \pm 76.9 \, \text{mV ms}^{-1}$ , n = 5; ANOVA, P = 0.985; Dunnett's

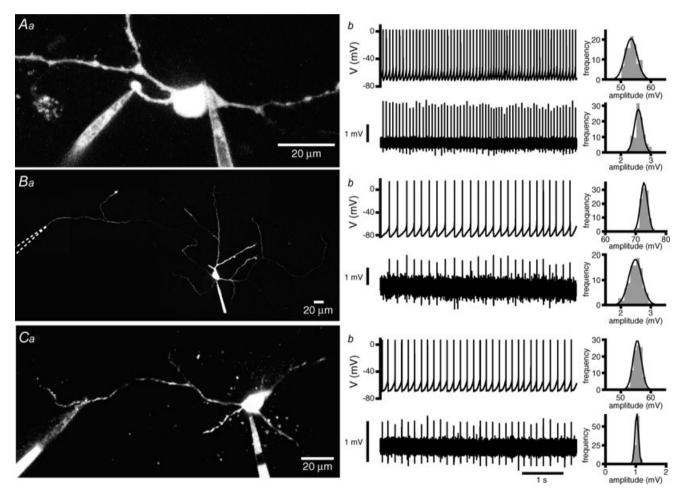


Figure 5. Autonomously generated action potentials are faithfully propagated throughout axonal and somatodendritic compartments

Aa, Ba and Ca, maximum intensity projections of 2PLSM Z-series of STN neurons recorded simultaneously in the whole-cell somatic and loose-seal cell-attached axonal (Aa and Ba) or dendritic (Ca) configurations. Loose-seal cell-attached electrodes were placed  $29 \,\mu$ m (Aa),  $584 \,\mu$ m (Ba), and  $117 \,\mu$ m (Ca) from the soma. Ab, Bb and Cb, corresponding whole-cell (upper panels) and loose-seal cell-attached records (lower panels). Autonomously initiated action potentials propagated with 100% reliability into somatic, distal axonal and distal dendritic compartments. Note that distal axonal and dendritic loose-seal cell-attached recordings were made beyond axonal and dendritic branch points. The amplitude of each somatic action potential was large and consistent in each neuron. The amplitudes of loose-seal cell-attached recorded axonal and dendritic action potentials were similarly consistent. Frequency/amplitude histograms together with fits (black line) to normal distributions are illustrated to the right of each recording.

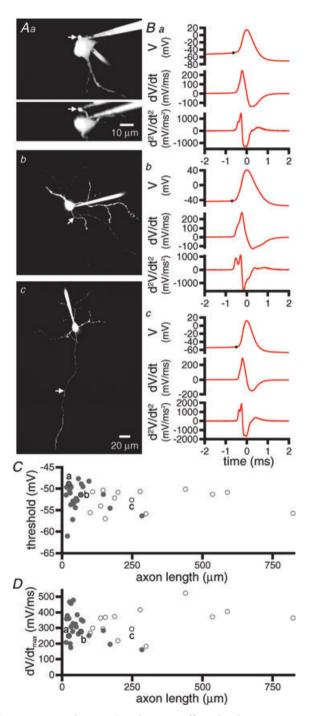


Figure 6. Axonal truncation does not affect the shape, threshold or maximal rate of rise of autonomously generated action potentials

A, maximum intensity projections of 2PLSM Z-series through STN neurons with axons truncated at  $24\,\mu\mathrm{m}$  (Aa) and  $67\,\mu\mathrm{m}$  (Ab) or intact for at least  $248\,\mu\mathrm{m}$  (Ac). An orthogonal projection is also illustrated for Aa. The scale bar in panel Ac also applies to Ab; axons are highlighted with arrows. B, spike-triggered averages of 100 action potentials taken from somatic whole-cell recordings from the cells shown in A. Dots mark threshold. The first and second derivatives of each trace are also shown. C, action potential thresholds for 39 neurons with axons truncated at varying lengths. D, maximum rate of rise ( $dV/dt_{max}$ ) of action potentials from the same neurons, as shown

post hoc multiple comparison test, P > 0.05 for each pairwise comparison with axons  $> 100 \,\mu m$ ). Taken together, these data suggest that the axon initial segment and somatic compartment of STN neurons express a large reserve of Na<sub>v</sub> channels for the autonomous generation of action potentials.

## Autonomous action potential generation is most sensitive to reduction of proximal axonal Na<sub>v</sub> channel current

Previous studies have indicated that action potentials are initiated in a region that either possesses a higher density of Na<sub>v</sub> channels (Kole et al. 2008), and/or possesses Na<sub>v</sub> channels with specialized gating properties (Colbert & Pan, 2002; Astman et al. 2006; Castelli et al. 2007). Our hypothesis was therefore that the autonomous generation of action potentials in STN neurons would be most sensitive to the manipulation of Na<sub>v</sub> channel current in the axonal region of initiation. In order to test this hypothesis, extracellular [Na<sup>+</sup>] was lowered in the vicinity of specific regions of STN neurons through the local application of Hepes-buffered ACSF in which Na<sup>+</sup> was partially replaced with NMDG (control extracellular [Na<sup>+</sup>] = 126 mm; reduced extracellular  $[Na^+] = 13.8 \text{ mM}$ ). Figure 7A illustrates the responses of an autonomously active STN neuron to low [Na<sup>+</sup>] ACSF applied by 50 ms pressure-pulses at five different locations (Fig. 7B). Application of low [Na<sup>+</sup>] ACSF was considered to have had a significant effect on initiation if the threshold of action potentials that occurred immediately following application were significantly different to the threshold of action potentials generated during control trials (10 trials of each, interspersed as 5 control; 5 low [Na<sup>+</sup>] ACSF; 5 control; 5 low [Na<sup>+</sup>] ACSF; Mann–Whitney U test). In this example, application of low [Na<sup>+</sup>] ACSF to the soma, proximal dendrite, or axon  $40 \,\mu m$  distal to the soma had no significant effect on the autonomous generation of action potentials. However, applications to the axon 15  $\mu$ m or 30  $\mu$ m distal to the soma significantly depolarized the threshold of action potentials generated immediately following application. Action potentials that followed low [Na<sup>+</sup>] ACSF application were also relatively delayed compared to action potentials recorded in control trials. Application of low [Na<sup>+</sup>] ACSF to the proximal axon led to a significant depolarization of action potential threshold in each neuron tested (axon

in C. For both C and D, open symbols represent axons, which could not be followed for their entire length, the distance shown in these cases represents the maximum length of the axon that could be detected with 2PLSM. The lettered highlighted points (a-c) correspond to the cells shown in A and B. Note that the shape, threshold and maximum rate of rise of action potentials are similar for STN neurons with variable degrees of transection.

 $0-20\,\mu\mathrm{m}$  from the soma: control =  $-51.5\pm3.8\,\mathrm{mV}$ , low [Na<sup>+</sup>] ACSF =  $-47.9\pm5.1\,\mathrm{mV}$ , n=7, P=0.016, Wilcoxon signed ranks test; axon 20–40  $\mu\mathrm{m}$  from the soma: control =  $-52.4\pm3.7\,\mathrm{mV}$ , low [Na<sup>+</sup>] ACSF =  $-49.8\pm5.1\,\mathrm{mV}$ , n=8, P=0.008, Wilcoxon signed ranks test).

Application of low [Na<sup>+</sup>] ACSF to the soma had more variable effects on the threshold of action potentials. No significant effect was observed in 3 of the 6 neurons tested at this site but in the 3 other neurons the greatest effect of all sites tested was at the soma. This disparity could reflect difficulty in restricting the low

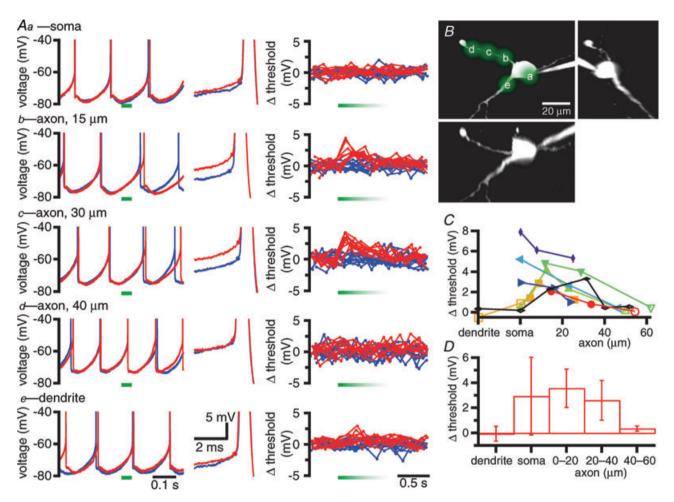


Figure 7. Reduction of extracellular [Na+] in the vicinity of the axon initial segment or cell body depolarizes the threshold of autonomously generated action potentials

A, effects of local application of low [Na<sup>+</sup>] ACSF at the soma (Aa), a dendrite (Ae), and at three axonal locations (Ab-d) on the autonomous generation of action potentials. Left-hand panels, overlaid traces from control trials (blue) and trials in which low [Na<sup>+</sup>] ACSF was pressure-pulse-applied for 50 ms (red traces; time of application marked with horizontal green bars). Middle panels, zoom of single action potentials from control (blue) and low [Na<sup>+</sup>] ACSF (red) trials aligned to the peak of each action potential. Action potential thresholds are marked with a dot on each trace. Right-hand panels, plots of the deviation of action potential threshold from mean threshold (measured in control traces) for all action potentials in 10 control trials (blue) and 10 trials of low [Na+] ACSF application (red). Applications of low [Na<sup>+</sup>] ACSF began at the start of the green bars. The length of the green bars approximates the duration of locally reduced extracellular [Na+] as assessed by the fluorescence of ejected Alexa Fluor 488. B, the sites of low [Na<sup>+</sup>] ACSF used in A superimposed on a maximum intensity projection of a 2PLSM Z-series of the neuron. Orthogonal (X and Y) projections are also illustrated. C, summary of the effect of low [Na+] ACSF application on action potential threshold in 8 cells. The data shown in black are from the example illustrated in A and B. Filled symbols represent sites of application that increased the threshold of action potentials compared to control trials, as measured by the Mann–Whitney U test. Open symbols represent sites of application that had no significant effect on threshold. D, mean  $\pm$  s.p. effect of low [Na<sup>+</sup>] ACSF application on action potential threshold for dendritic, somatic and axonal sites.

[Na<sup>+</sup>] ACSF to the soma when the axon emerges from the soma at specific orientations relative to the application pipette or an underlying heterogeneity in the location of Na<sub>v</sub> channels in STN neurons. Across all 6 neurons tested there was, however, a significant depolarization of action potential threshold following the application of low [Na<sup>+</sup>] ACSF to the soma (control =  $-50.0 \pm 4.7$  mV, low [Na<sup>+</sup>] ACSF =  $-47.1 \pm 6.6$  mV, n = 6, P = 0.031, Wilcoxon signed ranks test).

Low [Na<sup>+</sup>] ACSF application to the dendrites or distal axon (greater than 40  $\mu$ m from the soma) of STN neurons had no significant effect on action potential threshold in each neuron tested (dendrites: control =  $-57.1 \pm 0.6$  mV, low [Na<sup>+</sup>] ACSF =  $-57.2 \pm 0.0$  mV, n = 2; axon > 40  $\mu$ m from soma: control =  $-53.7 \pm 3.8$  mV, low [Na<sup>+</sup>] ACSF =  $-53.4 \pm 3.8$  mV, n = 5).

Hypothetically, reduction of extracellular [Na<sup>+</sup>] could have effects on ionic fluxes other than those mediated by Na<sub>v</sub> channels. Thus, to ensure that the results obtained through low [Na+] ACSF application were attributable to a reduction in Na<sup>+</sup> current through Na<sub>v</sub> channels, further experiments were performed using the compartment-selective application of the Na<sub>v</sub> channel blocker TTX (1  $\mu$ M; Fig. 8). The effect of local 50 ms applications of TTX on action potential threshold had a slower time course than local Na<sup>+</sup> reduction. Therefore changes in threshold were averaged from action potentials that occurred in the second following application. TTX application at any given location was considered effective if the threshold of action potentials in the first five trials of TTX application exhibited a statistically significant change compared to five control trials prior to the application of TTX (Mann–Whitney *U* test). The effect of TTX application was also slower to reverse than low [Na<sup>+</sup>] ACSF. The effects of TTX often accumulated over successive trials 30 s apart, and took minutes to reverse (full reversal was rarely achieved). An example of a neuron in which  $1 \,\mu\text{M}$ TTX was applied locally to four sites is illustrated in Fig. 8. Application at a site  $24 \,\mu m$  from the soma was the most effective at elevating action potential threshold, leading to a complete cessation of firing by the 9th trial (Fig. 9Ab). TTX application at the soma or axon  $44 \mu m$ from the soma also elevated action potential threshold, but to a lesser extent. Application at a dendrite did not significantly affect the threshold for action potential generation (Fig. 8).

Across the sample population, application of TTX to the proximal  $20 \,\mu \text{m}$  of the axon had a significant effect on action potential threshold in each cell tested (change in threshold =  $2.0 \pm 1.2 \,\text{mV}$ , n = 5). Application of TTX to the axon  $20-40 \,\mu \text{m}$  from the soma produced a significant change in threshold in 2/4 cells tested (change in threshold =  $2.0 \pm 2.1 \,\text{mV}$ , n = 4). Application of TTX to

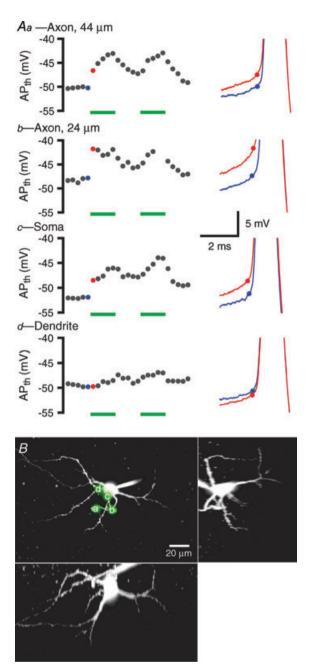


Figure 8. Proximal axonal and somatic application of TTX depolarizes the threshold of autonomously generated action potentials

A, responses of a representative neuron to 50 ms pressure applications of 1  $\mu$ M TTX at two axonal sites (a, 44  $\mu$ m from the soma; b, 24  $\mu$ m from the soma), the soma (c), and a dendrite (d). The left-hand panels show the average action potential threshold (AP<sub>th</sub>) from individual 1 s trials during which TTX was or was not applied. Trials were repeated every 30 s with 5 TTX trials interleaved with 5 control trials. Trials in which TTX was applied are marked with green bars. The blue and red dots correspond to examples in the right-hand panels. The right-hand panels show overlaid action potentials from control (blue) and TTX (red) trials. Dots denote threshold. B, the sites of TTX application in A (a-d) are superimposed on a maximum intensity projection of a 2PLSM Z-series of the neuron. Orthogonal (X and Y) projections are also illustrated.

the axon 40–60  $\mu$ m from the soma significantly elevated action potential threshold in 3/6 cells tested (change in threshold = 2.0  $\pm$  2.2 mV, n = 6). Axonal sites greater than 60  $\mu$ m from the soma were tested in 3 cells. The most distal site of axonal application of TTX that significantly elevated action potential threshold was 70  $\mu$ m from the soma (change in threshold = 1.7 mV). Applications at axonal sites 76  $\mu$ m and 107  $\mu$ m from the soma did not affect threshold. Applications of TTX to the soma had a significant effect in 5/8 cells tested (change in threshold = 2.4  $\pm$  2.4 mV, n = 8). There was no effect on action potential threshold when TTX was applied to the dendrites of two neurons. Taken together these data demonstrate that manipulation of Na $_{\rm V}$  channels in the

axon initial segment most consistently elevates action potential threshold. These data are also consistent with the conclusion that  $Na_{\rm v}$  channels in the axon initial segment and soma are primarily responsible for the autonomous initiation of action potentials in STN neurons.

## Somatodendritic applications of GABA generate larger and faster currents than axonal applications of GABA

GABA<sub>A</sub> receptor-mediated IPSPs can both deactivate and deinactivate Na<sub>v</sub> channels that underlie the autonomous activity of STN neurons (Bevan *et al.* 2002; Baufreton *et al.* 2005). In many cell types action potential generation

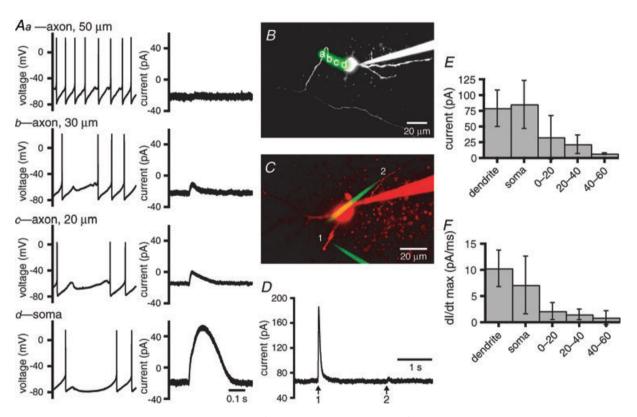


Figure 9. Somatodendritic application of GABA evoked larger and faster  $GABA_A$  receptor-mediated currents than axonal application of GABA

A, example recordings illustrating the effects of 10 ms pressure applications of 1 mm GABA to three axonal sites (a–c) and the soma (d). In current-clamp mode (left-hand panels), the greatest hyperpolarization was generated by application of GABA to the soma. The magnitude of hyperpolarization decreased with progressively more distal axonal applications of GABA. In voltage-clamp mode (holding voltage -70 mV; right-hand panels) outward current following application of GABA was greatest for somatic application and decreased in magnitude with progressively more distal axonal applications. B, maximum intensity projection of a 2PLSM Z-series of the neuron in A with locations of GABA application (a–d) highlighted. C–D, GABA application at the dendrite (1) and axon (2) of another STN neuron. C, maximum intensity projection of a 2PLSM Z-series of the neuron with locations of GABA application (1–2) highlighted. The neuron (red) was filled with Alexa Fluor 568 hydrazide. The GABA ejection pipettes (green) contained Alexa Fluor 488 hydrazide. E, population data, peak amplitude of outward current that was elicited following 10 ms applications of 1 mm GABA to dendritic, somatic and axonal (0–60  $\mu$ m from soma) sites. E, population data, maximal rate of increase of GABA-evoked current (0/0/0/0/0/0/00 00.

is tightly regulated by GABAA receptor-mediated synaptic inputs that are directed to somatodendritic and/or axonal compartments (Buhl et al. 1995; Miles et al. 1996; Häusser & Clark, 1997; Pouzat & Hestrin, 1997; Person & Perkel, 2005; Szabadics et al. 2006). The available evidence suggests that STN neurons receive GABAergic synaptic inputs that are directed to their somatodendritic but not their axonal compartments (Chang et al. 1983; Smith et al. 1990; Shink et al. 1996; Bevan et al. 1997). Thus in order to determine whether somatodendritic rather than axonal GABAA receptors regulate firing in STN neurons, the magnitude of GABA<sub>A</sub> receptor-mediated hyperpolarization and outward currents evoked by compartment-selective applications of GABA in the presence of the GABAB receptor antagonist CGP 55845 (2  $\mu$ M) were compared. In individual neurons (Fig. 9A-D) and across the sample population, we found that somatodendritic applications of GABA generated greater hyperpolarization than axonal applications (peak amplitude of GABA-evoked potential; somata/dendrites =  $15.5 \pm 7.8$  mV, n = 7 sites;  $axons = 6.6 \pm 4.0 \text{ mV}, n = 8 \text{ sites}, P = 0.009, Mann-$ Whitney U test). Similarly the peak amplitude of GABA-evoked outward current was greater for somatic and dendritic than axonal application  $(dendrites = 79.2 \pm 29.1 pA,$ n=6; somata =  $85.3 \pm$ 38.3 pA, n = 5; axons = 22.5  $\pm$  22.5 pA, n = 17; ANOVA, P < 0.0001; Bonferroni's multiple comparison test: dendrites versus somata, not significant (n.s.); dendrites versus axons, P < 0.05; somata versus axons, P < 0.05). Smaller outward currents seen with applications to proximal axonal sites may reflect diffusion to somatodendritic  $GABA_A$ receptors because maximal rate of increase of GABA-evoked current was relatively small compared to currents evoked by somatodendritic application (maximal rate of increase of GABA-evoked current; dendrites =  $10.3 \pm 3.5 \,\mathrm{pAm \, s^{-1}}$ , n = 6; somata = 7.1 ± 5.5 pAm s<sup>-1</sup>, n = 5; axons = 1.5 ± 1.3 pAm s<sup>-1</sup>, n = 17; ANOVA P < 0.0001; Bonferroni's multiple comparison test: dendrites versus somata, n.s.; dendrites versus axons P < 0.05; somata versus axons, P < 0.05).

Because poor voltage control may confound the measurements described above (Williams & Mitchell, 2008), the axon initial segments of the two Golgi-labelled neurons illustrated in Fig. 3 were examined in their entirety through electron microscopic examination of serial ultrathin sections in order to more definitively determine whether this compartment receives synaptic input. Synaptic terminals with the morphological properties of GABAergic globus pallidus terminals (Smith *et al.* 1990; Bevan *et al.* 1997) were readily identified in synaptic contact with the dendrites and somata/axon hillock of each STN neuron (Fig. 10). In contrast, synaptic inputs to the axon initial segment  $> 2-3 \mu m$  from the soma

were not observed (Fig. 11). Taken together with earlier studies (Bevan *et al.* 2002; Baufreton *et al.* 2005; Hallworth & Bevan, 2005), these data suggest that the synaptic activation of somatodendritic GABA<sub>A</sub> receptors tightly regulates action potential generation in STN neurons through actions on Na<sub>v</sub> channels in proximal axonal and somatic compartments.

### **Discussion**

## Loose-seal cell-attached recording of action potential initiation and propagation

Visually guided loose-seal cell-attached recordings have recently been used to record action potentials in small diameter neuronal compartments (Clark et al. 2005; Khaliq & Raman, 2005, 2006; Meeks et al. 2005; Monsivais et al. 2005; Meeks & Mennerick, 2007). Several lines of evidence reported here and in recent studies demonstrate that this signal is related to the local capacitive voltage transient associated with an action potential: (1) extracellular recordings close to the compartment of interest, in contrast to loose-seal cell-attached recordings, are associated with relatively small signals of variable polarity (Meeks et al. 2005; Teagarden et al. 2008); (2) simultaneous whole-cell and loose-seal cell-attached recordings from the same neuronal compartment have confirmed that the loose-seal measurement is similar in form to the first temporal derivative of the whole-cell record (Meeks et al. 2005; Teagarden et al. 2008); (3) conduction velocity estimates based on loose-seal cell-attached recording are in accord with estimates based on electrical axonal/synaptic stimulation experiments in vitro and in vivo (Khaliq & Raman, 2005; Meeks et al. 2005; Meeks & Mennerick, 2007; Teagarden et al. 2008).

## Site of initiation of autonomously generated action potentials

Simultaneous whole-cell somatic and loose-seal cell-attached recordings demonstrated that action potentials were initiated in the proximal axon of STN neurons. These findings are consistent with findings from the hippocampus (Meeks & Mennerick, 2007; Schmidt-Hieber et al. 2008), neocortex (Kole et al. 2007; Shu et al. 2007) and cerebellum (Clark et al. 2005). In both neurons with myelinated or unmyelinated axons, loose-seal cell-attached and whole-cell recordings have demonstrated that action potentials are typically initiated in the proximal axon. As in our study, these estimates have arisen from the composition of data arising from multiple neurons in which few sites were sampled. Simultaneous sampling of action potential generation in multiple compartments of individual neurons has also

been achieved through voltage-sensitive dye imaging (Palmer & Stuart, 2006) in a study, which also suggested initiation in the proximal axon. The precise site of initiation in the proximal axon does, however, appear to vary. In Purkinje neurons, initiation occurs at the first node of Ranvier  $\sim 80 \,\mu \text{m}$  from the soma (Clark et al. 2005). In CA3 hippocampal pyramidal neurons (which are unmyelinated up to 1 mm from the soma) the data suggest a zone of initiation in the axon 10–100  $\mu$ m from the soma (Meeks & Mennerick, 2007). In somatosensory cortical pyramidal neurons initiation occurs in the distal non-myelinated axon initial segment  $\sim$ 35  $\mu$ m from the soma (Palmer & Stuart, 2006; Kole et al. 2007). In prefrontal cortical pyramidal neurons (which become myelinated several hundred micrometres from the soma) initiation occurs in the proximal axon within 30–45  $\mu$ m from the soma (Shu *et al.* 2007). Finally, in non-myelinated hippocampal granule cells initiation occurs in the axon 20–30  $\mu$ m from the soma (Schmidt-Hieber *et al.* 2008). Our measurements first detected action potentials in a region that most closely corresponded to the axon initial segment. Simultaneous sampling of voltage in multiple compartments of individual STN neurons will, however, be required for a definitive determination of the site of initiation.

## Axonal and dendritic propagation of autonomously generated action potentials

Propagation of action potentials may fail due to the level and pattern of expression of Na<sub>v</sub> and other channel

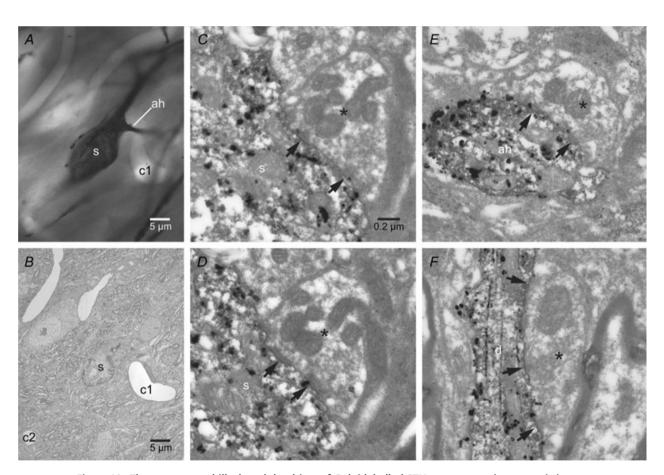


Figure 10. The soma, axon hillock and dendrites of Golgi-labelled STN neurons receive synaptic inputs from putative globus pallidus terminals

A–E, light (A) and electron (B–F) micrographs of the soma (s) and axon hillock (ah) of a Golgi-labelled neuron (the distal axon of this neuron is also illustrated in Figs 3B and 11). A capillary (c1) acts as a point of registration between the light and electron micrographs. C–E, the soma (C and D) and axon hillock (E) of this neuron received synaptic input (arrows) from terminals (asterisks) that possessed the morphological properties of terminals arising from GABAergic globus pallidus neurons, i.e. they were large, formed multiple symmetrical synaptic junctions and contained multiple mitochondria. C and D, serial sections through the same axosomatic terminal. E, the axo-axonic synapse was established on the axon hillock  $\sim$ 2–3  $\mu$ m from the soma. E, an example of another globus pallidus-like terminal (asterisk) that formed multiple symmetrical synaptic contacts (arrows) with the dendrite (d) of another Golgi-labelled STN neuron. Scale bar in C also applies to D–E.

subtypes in specific compartments (Spruston *et al.* 1995; Debanne *et al.* 1997; Jung *et al.* 1997; Debanne, 2004; Gentet & Williams, 2007) and at the interface of the axon and soma and at axonal and dendritic branch points due to the capacitive load of these junctions (Grossman *et al.* 1979; Debanne, 2004). In midbrain dopamine neurons

for example, action potentials often fail to generate a full somatic action potential (Grace & Bunney, 1983; Gentet & Williams, 2007). Action potentials can also exhibit frequency-dependent failures of propagation due in some cases to inactivation of Na<sub>v</sub> channels (Coombs *et al.* 1957; Fuortes *et al.* 1957; Grace & Bunney, 1983; Spruston *et al.* 

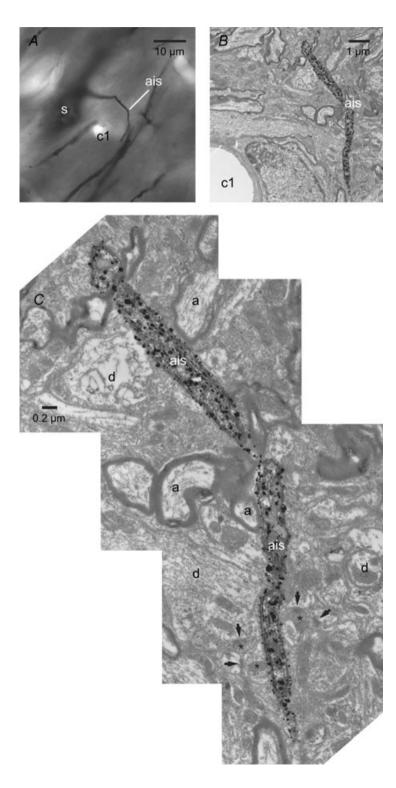


Figure 11. The axon initial segment is largely devoid of synaptic input

A–C, light (A) and electron (B and C) micrographs of the axon initial segment (ais) of the Golgi-labelled neuron illustrated in Figs 3B and 10A–E. The capillary (c1) acts as a point of registration between the light and electron micrographs. Although the axon hillock received an input from a putative globus pallidus terminal (Fig. 10E), the remaining axon initial segment did not receive synaptic input. At high magnification (C), the axon can be observed to course through neuropil in which adjacent axons (a), dendrites (d), synaptic terminals (asterisks) and synapses (arrows) with other elements are present.

1995; Jung et al. 1997; Do & Bean, 2003; Debanne, 2004; Khaliq & Raman, 2005; Meeks et al. 2005; Monsivais et al. 2005; Scott et al. 2007; Shu et al. 2007). However, action potentials in the proximal axon of STN neurons always led to full amplitude somatic action potentials and propagated reliably into distal axons and dendrites (often beyond branch points). These data are therefore in accord with the consistency of action potential-associated dendritic Ca<sup>2+</sup> transients in STN neurons (Teagarden et al. 2008) and the role of STN neurons as a tonic 'driving force' in vivo (Tachibana et al. 2008).

As expected, the conduction velocity of the myelinated axon was considerably faster than the velocity of action potentials in the dendritic compartment of STN neurons. The axonal conduction velocity estimate was also consistent with conduction velocities estimated from axonal and synaptic stimulation studies in vitro and in vivo (Kita et al. 1983a; Nakanishi et al. 1987b, 1991; Granata & Kitai, 1989; Kita & Kitai, 1991). Linear fits to the relationship between the timing of action potentials and distance of measurement in each compartment were, however, poorer for the myelinated axon than for the dendritic compartment. This could reflect underlying heterogeneity in the conduction velocity of STN axons (Kita et al. 1983a; Nakanishi et al. 1987b, 1991; Granata & Kitai, 1989; Kita & Kitai, 1991). The distance of recordings from nodes of Ranvier will also affect the apparent conduction velocity because internodal recordings will measure the axial propagation of action potentials from adjacent nodes that are proximal and/or distal to the soma (Clark et al. 2005; Palmer & Stuart, 2006; Kole et al. 2008).

## The location of $Na_{\nu}$ channels responsible for the autonomous initiation of action potentials

We found that variable transection of STN axons did not significantly alter the shape, threshold or maximum rate of rise of action potentials recorded at the soma. Similar findings have been reported for cortical pyramidal neurons (Palmer & Stuart, 2006). The failure of axonal transections beyond or within the axon initial segment to affect action potential formation suggests that neurons express a large reserve of  $Na_v$  channels (Madeja, 2000) within the axon initial segment and soma for action potential generation. Indeed, autonomous activity of STN neurons persists despite inactivation of  $\sim$ 40% of  $Na_v$  channels (Do & Bean, 2003; Baufreton *et al.* 2005). These data also confirm that  $Na_v$  channels in nodes of Ranvier beyond the axon initial segment are not necessary for autonomous firing in STN neurons.

2PLSM-guided application of low [Na<sup>+</sup>] ACSF or TTX revealed that manipulation of Na<sub>v</sub> channels in the axon initial segment most consistently elevated threshold, whereas applications at more distal axonal sites or the soma

itself elevated threshold in a subset of neurons. Whether these observations reflect underlying heterogeneity in the distribution of Na<sub>v</sub> channels (Kuba et al. 2006), or diffusion to axon initial segment Na<sub>v</sub> channels is unknown. Applications centred on dendrites were without effect. Together these data suggest that Na<sub>v</sub> channels in the axon initial segment are most critical for the initiation of action potentials in STN neurons, a conclusion that is consistent with the earliest detection of action potentials in a similar region. The capability of low [Na<sup>+</sup>] ACSF or TTX application rather than physical removal of axonal Na<sub>v</sub> channels to detect changes in action potential properties is likely to reflect the enhanced sensitivity of the within-cell design of the application experiments. Our findings are also consistent with the effects of similar application experiments in most neurons studied thus far (Khaliq & Raman, 2006; Palmer & Stuart, 2006; Meeks & Mennerick, 2007). However, in subicular pyramidal neurons nodal Na<sub>v</sub> channels appear critical for action potential generation because threshold was only elevated by TTX application beyond the initial segment (Colbert & Johnston, 1996).

### **Location of GABA receptors**

studies have suggested that receptor-mediated inputs regulate the pattern of STN neuronal activity in part through their effects on postsynaptic Na<sub>v</sub> channels (Bevan et al. 2002; Baufreton et al. 2005). In some neurons, the availability and state of axonal Na<sub>v</sub> channels are regulated directly by axo-axonic GABAergic inputs. However, earlier ultrastructural studies have suggested that GABAergic inputs are directed to the somatodendritic compartment of STN neurons with occasional inputs to the axon hillock (Chang et al. 1983; Smith et al. 1990; Shink et al. 1996; Bevan et al. 1997). Given the difficulty in recognizing the unlabelled axon initial segment and the fact that extrasynaptic GABAA receptors can be activated by spillover from GABA synapses (Kullmann, 2000; Farrant & Nusser, 2005), local applications of GABA were used as a probe for functional GABAA receptors. Although small GABAA receptor-mediated currents were generated by axonal applications of GABA, their small amplitude and slow kinetics, compared to currents evoked by somatic or dendritic applications suggest that axonally applied GABA diffused to and activated somatodendritic GABAA receptors. Electron microscopic analysis of serial ultrathin sections through two Golgi-labelled STN neurons confirmed that, in contrast to somatic and dendritic compartments, the axon initial segment of STN neurons was indeed largely devoid of synaptic input from putative GABAergic terminals.

#### **Functional implications**

Theoretical studies suggest that the placement of Na<sub>v</sub> channels in the proximal axon represents an efficient strategy for action potential formation given the low effective capacitance of this small diameter compartment compared to the soma and dendritic arbor (Mainen et al. 1995; Colbert & Pan, 2002; Clark et al. 2005; Meeks & Mennerick, 2007; Mercer et al. 2007; Kole et al. 2008; Schmidt-Hieber et al. 2008). In myelinated axons the proximity of this compartment to myelin additionally aids action potential formation by further lowering local capacitance (Mainen et al. 1995; Colbert & Pan, 2002; Clark et al. 2005; Meeks & Mennerick, 2007; Mercer et al. 2007; Kole et al. 2008; Schmidt-Hieber et al. 2008). These strategies, which are highly conserved, will therefore minimize the number of Na<sub>v</sub> channels required for action potential generation. In STN neurons the proximity of the axonal site of initiation to somatodendritic synaptic inputs (Chang et al. 1983; Smith et al. 1990; Bevan et al. 1995, 1997; Shink et al. 1996) will therefore enable synaptic inputs to regulate proximal axonal Na<sub>v</sub> channels through effects on axonal voltage without directly affecting the resistance of the axolemma. Indeed, recent studies suggest that inhibitory and excitatory postsynaptic potentials arising from inputs to the somatodendritic compartment can effectively regulate the voltage of axons and synaptic terminals even at sites considerably distal to the site of initiation (Alle & Geiger, 2006; Shu et al. 2006; Kole et al. 2007).

In most neurons and under most conditions studied thus far synaptic inputs do not shift the site of initiation of action potentials to the soma or dendrites although dendritic Na<sub>v</sub> and Ca<sub>v</sub> channels may contribute to local regenerative potentials that play a role in the integration of synaptic inputs (Magee, 2000; Gulledge et al. 2005; London & Häusser, 2005; Losonczy & Magee, 2006; Spruston, 2008). If a similar principle holds true for STN neurons then synaptic inputs to their somata and dendrites will regulate neuronal output via a common region of action potential initiation in the proximal axon. Manipulations that interfere with the synaptic patterning of action potential generation in the proximal axon could therefore be of therapeutic value in correcting the pathological output of the STN in PD. Indeed, an interesting hypothesis for the therapeutic effect of high-frequency electrical stimulation of the STN for PD is that stimulation silences the normal site of initiation through activation of GABAergic inputs and simultaneously entrains action potential generation in the distal axon of STN neurons (McIntyre et al. 2004). The application of simultaneous whole-cell somatic and axonal loose-seal cell-attached recordings during high-frequency electrical stimulation of the STN will enable a direct test of this hypothesis.

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### Supplemental material

Online supplemental material for this paper can be accessed at: http://jp.physoc.org/cgi/content/full/jphysiol.2008.155861/DC1